Penetrating 3-D Imaging at 4- and 25-m Range Using a Submillimeter-Wave Radar

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Abstract—We show experimentally that a high-resolution imaging radar operating at 576–605 GHz is capable of detecting weapons concealed by clothing at standoff ranges of 4–25 m. We also demonstrate the critical advantage of 3-D image reconstruction for visualizing hidden objects using active-illumination coherent terahertz imaging. The present system can image a torso with <1 cm resolution at 4 m standoff in about five minutes. Greater standoff distances and much higher frame rates should be achievable by capitalizing on the bandwidth, output power, and compactness of solid state Schottky-diode based terahertz mixers and multiplied sources.

Index Terms—Millimeter waves, submillimeter-wavelength imaging, terahertz radar, terahertz (THz).

I. INTRODUCTION

NUMEROUS commercial technologies, from trace chemical sniffers to X-ray imagers, can detect weapons or contraband concealed on persons, but in almost all cases these approaches require the sensor and the target to be in close proximity. For situations that call for remote detection, such as when hidden explosives may be detonated or where clandestine surveillance is warranted, concealed weapons detection is at best extremely difficult to accomplish.

One technique that shows great promise for achieving through-garment imaging at standoff ranges from 5 to 100 m is active submillimeter-wave imaging using coherent heterodyne detection (mixers). Between 100 GHz and 1 THz most clothing is reasonably transmissive [1], while at the same time high image resolution can be achieved with a compact aperture. For example, for a standoff range \( R = 50 \) m, an antenna diameter \( D = 1 \) m, and an operating wavelength \( \lambda = 0.5 \) mm (600 GHz), the two-way diffraction-limited image resolution is about 2 cm, sufficient for many threat scenarios.

Excellent sources and sensors are also now available at terahertz frequencies. For example, the Jet Propulsion Laboratory’s best all-solid-state submillimeter-wave Schottky diode-based sources produce >2 mW of coherent output power while Schottky mixers exhibit a \( \sim 2000 \) K DSB mixer noise temperature around 600 GHz [2]–[4]. Even derating these parameters by a factor of two to 1 mW and 4000 K DSB, we can derive excellent signal-to-noise for a radar application. For instance, taking an additional loss of 10 dB from atmospheric absorption, optics, and RF circuitry, the total noise temperature of a submillimeter imaging system using these components will be 40,000 K. Assuming that a target has a backscattering coefficient of \( \sim 20 \) dB (i.e., isotropically reflecting 1% of the incident radiation), and that the integration time is 10 ms, the signal-to-noise ratio (SNR) will be \( \sim 67 \) dB according to a conventional radar analysis [5]. With the ability to penetrate clothing, the potential for cm-scale image resolution, and SNR in excess of \( 10^{6} \), it is no wonder that active submillimeter-wave-length imaging has attracted great interest for standoff weapons detection. But is this substantial sensitivity and good resolution sufficient to reliably detect concealed objects?

In this paper we show that it is not sufficient because in real-life scenarios a single-pixel coherent image will typically exhibit very poor contrast between a concealed object and the surrounding clothing and skin—even for metallic objects such as guns. Instead, the challenge of active terahertz detection of concealed objects involves extracting signals from scene clutter rather than from noise. We have addressed this problem by implementing for the first time an ultra-broadband submillimeter-wave radar that can map a person at the sub-cm scale in three dimensions. Using this technique, we are able to generate high-resolution images and remove the clutter signals to reveal hidden objects at standoff ranges of 4 and 25 m. This work represents a significant improvement over that described in [6], where only a brightly reflective concealed object could be detected at 4 m standoff, and where the image resolution was inferior in all dimensions. We also show here how the system can be readily scaled to larger standoff ranges, but increasing its speed to near-real-time frame rates will require a substantial effort to develop a multi-pixel imaging radar array.

II. HIGH-RESOLUTION FREQUENCY-MODULATED CONTINUOUS-WAVE (FMCW) RADAR

The block diagram of an earlier version of the 600 GHz FMCW radar has already been reported in [6], and it is reproduced here in Fig. 1(a). The main change to the system...

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electronics is that a hybrid direct digital synthesis/phase-lock loop (DSS/PLL) synthesizer is used to generate the chirped signal, instead of a YIG synthesizer. The DSS/PLL is typically ramped between 2.5 and 3.3 GHz in 12.5 ms. After upconversion onto a synthesized 13.5 GHz signal, followed by ×36 frequency multiplication, this results in a transmitted 576-604.8 GHz chirp with a rate $K = 2.3$ MHz/µs. This chirp waveform has a bandwidth of $60.8 - 576 = 28.8$ GHz. A radar’s range resolution is inversely proportional to its bandwidth according to the formula $\Delta r = c/2\Delta f$, where $\Delta r$ is the resolution, $c$ is the speed of light and $\Delta f$ is the chirp radar bandwidth [5]. Therefore the system described here can theoretically attain a range resolution of about 0.5 cm, roughly a factor of 2 improvement over the previous system. Also, the ×4 speed-up in the attainable repetition frequency permits images to be acquired more quickly. The use of a DDS-based synthesizer for FMCW radar, rather than a YIG or VCO chirper, is usually motivated by the high chirp linearity needed for high range resolution. However, our 600 GHz radar exhibits intrinsic nonlinearities from its submillimeter-wave components that are at least as large as what a YIG or VCO would produce. Nonetheless we found that the stability of the ramp waveform generated by the DSS/PLL hybrid was superior to that of all-analog sweepers, and waveform stability is the key figure of merit that permits us to attain close to the bandwidth-limited range resolution of 0.5 cm by using a digital phase- and amplitude-compensation algorithm for ramp nonlinearities [7].

Another improvement made to the imaging radar is that the beam focusing and scanning is now accomplished by an aluminum off-axis ellipsoidal reflector mounted on a two-axis rotation stage. Using a precision-machined reflector fabricated by Custom Microwave Inc., rather than a refractive Teflon plano-convex lens, boosts the optical efficiency by approximately 8 dB due to lower absorption loss and the elimination of reflection loss from the ~2 cm thick dielectric lens [8]. Clutter resulting from the lens backscattering was also eliminated. Fig. 1(b) shows a photograph of the 600 GHz imaging radar stage with a 40 cm diameter mirror installed. A beam diagram is shown in Fig. 1(c). Upon leaving the transceiver the first null beam width is 28°, and a secondary mirror deflects the beam to the primary reflector for focusing on a target.

The ellipsoidal reflector was designed using the physical optics simulation software GRASP (TICRA, Denmark) to achieve a simulated diffraction-limited half-power beam width of 6 mm. Owing to the two-way nature of the transceiver, the antenna pattern is squared to yield an effective 3 dB imaging beam width of 4 mm. Instead of performing a calibrated measurement of the radar’s antenna pattern, we assessed its beam quality by imaging a 3 mm diameter gold-plated bead suspended by a single cotton thread at 4 m standoff. Fig. 2(a) shows a photograph of the scene along with a ruler that was not present during the scan, and Fig. 2(b) shows the range-gated received power of the bead on a logarithmic scale. Each of the approximately 10 000 pixels in this radar image was obtained using a 25 ms chirp and integration time, and the peak power received from the bead is about 60 dB above the receiver’s intrinsic noise floor and 50 dB above the phase-noise-limited noise floor. Note that in Fig. 2(a) even a single thread is detectable with an SNR exceeding 20 dB in some places.

In Fig. 2(c), a cross-section of the measured bead signal in Fig. 2(b) is shown along with the two-way (squared) antenna beam pattern calculated by GRASP, and the match between experiment and theory is excellent down to about 15 dB. Below that, the discrepancies between the curves may be due to effects such as misaligned optics or the use of a target whose cross-section is not small compared to the beam. Regardless, the results of Fig. 2 prove that the 600 GHz radar imager is capable of detecting targets with very high sensitivity and with sub-cm cross-range resolution.

While cross-range resolution is a key figure of merit for a two-dimensional imager, in a 3-D radar imager the range resolution is also critical. To assess the range resolution capabilities of the 600 GHz imaging radar, a target was used with rele-
vance to through-garment imaging. Fabric from a cotton T-shirt was stretched flat and positioned a short distance in front of a wooden board. The shirt and wood were placed approximately perpendicular to the radar beam at a 4 m standoff range. While the beam can penetrate the shirt very easily, the 0.5-in thick wood is opaque at 600 GHz, with a reflected signal only being detectable from its front surface. We define the imager’s range resolution for this scenario as the minimum distance between the shirt and wood such that the radar can still distinguish between the two.

Fig. 3 shows the range-compressed power spectra obtained with the 600 GHz radar for four different shirt-wood separations between 0.5 and 5.0 cm, in addition to the wood-only spectrum. These data indicate that at 0.5 cm separation, which is the theoretical bandwidth-limited range resolution of the radar, only one peak is evident. By 1 cm separation, two peaks can clearly be discerned with a contrast of about 8 dB. Thus the practical range resolution of the 600 GHz radar is around 1 cm, about twice the 28.8 GHz bandwidth-limited value. This reduction in resolution results from the use of a Hann window in the power spectrum calculation, which increases the point-target spectral width by about 60%, and residual uncompensated FMCW ramp nonlinearities.

III. CONCEALED WEAPONS DETECTION

The added value of high-resolution ranging to active heterodyne submillimeter-wave imaging is conveyed by comparing the detectability of a concealed gun with varying degrees of range resolution. Fig. 4(a) shows a photograph of the imaging target: a person with a 0.45 caliber handgun replica concealed underneath his shirt at 4 m standoff. The imagery displayed in Fig. 4(b) and (c) were generated from the same scan of the target by the 600 GHz imaging radar. These data were acquired by raster-scanning the radar beam with the fast direction along the vertical (elevation) axis, resulting in $17 \times 10^3$ FMCW radar pulses collected, and giving a pixel spacing of 0.6 cm for the 151 x 113 pixel image. Each chirp waveform (28.8 GHz bandwidth) was acquired in 12.5 ms. With the added delays from signal processing and mechanical stage motion, the total image acquisition time was about 6 minutes.

Fig. 4(b) shows a grayscale scene image of the total power (on a log scale) collected by the radar at each pixel. The power was calculated by directly summing and squaring the signal voltages sampled at 316 kHz during each 12.5 ms dwell of the FMCW waveform. Prior to analog-to-digital conversion, the $I$ and $Q$ channels were low-pass filtered at 96 kHz, which excludes any spurious target signals that are beyond 6.25 m. (In FMCW radar, range $R$ is related to IF frequency $f_{IF}$ by the equation $f_{IF} = 2KR/c$, with $K$ the chirp rate and $c$ the speed of light.) As a result, the total-power image of Fig. 4(b) includes contributions from the targeted person, clutter from the wall behind him, and the close-range clutter caused by backscattering of the signal from the scanning stage and optics.

These strong clutter sources make the reflected-power image of Fig. 4(b) difficult to interpret. For example, the subject’s neck is virtually invisible, and his torso is poorly contrasted to the background. These observations are quite similar to those reported in [9], where a person was imaged using an active but
than 60 dB after only a 2-minum indicate that, for the geometry of our experiment, the small [5]. Separate tests we performed on flat, unpolished alu-beam direction, its backscattering coefficient will be extremely smooth metallic surface is oriented almost exactly normal to the only a few percent of the incident energy. However, unless a smooth metallic surface is oriented almost exactly normal to the beam direction, its backscattering coefficient will be extremely small [5]. Separate tests we performed on flat, unpolished aluminum indicate that, for the geometry of our experiment, the backscattering coefficient measured by our radar drops by more than 60 dB after only a 2º rotation away from normal incidence. Thus even for a metallic object such as a handgun, a near-normal angle of incidence is required to form a discernable image when using power-only measurements.

Fig. 4(c) shows another log-power image from the same data set, but with additional digital filtering covering a bandwidth of 8 kHz and corresponding to a range swath of 50 cm centered on the subject’s body. This filtering excludes the majority of the clutter from the far wall and the close-in backscattering, and as a result the image is significantly clearer than Fig. 4(b). For example, the full outline of the subject is visible, including low-reflectivity regions such as around the neck and much of the face. However, in Fig. 4(c) there is little indication that the subject is concealing a handgun because even with the background clutter reduction, the reflected power contrast across the target’s body is still dominated by variations in the angle of incidence between the beam and the target. The consistent lack of contrast in reflected power from concealed guns or other objects we have tested leads us to conclude that active single-pixel coherent sub-millimeter-wave systems will exhibit limited detection ability when operated in a power-only imagery modality.

As an alternative imaging approach, in Fig. 5 we demonstrate how by neglecting reflectivity contrast and instead utilizing the high range resolution capability of the radar, excellent through-clothes imagery can be generated from the same raw data set used in Fig. 4. The images in Fig. 5(b) and (c) were made using a signal and image processing algorithm consisting of the following steps: First, for each pixel of the image, the digitized I/Q radar return signal is phase- and amplitude-compensated to correct for chirp nonlinearities and then range-compressed using the fast Fourier transform (FFT). This generates a power spectrum with a frequency axis corresponding to absolute range to the target. An example is shown in Fig. 5(a), which shows the spectrum from a single pixel located about 12 cm below the gun handle (see inset). Second, a peak-finding algorithm identifies potential targets based on their signal strength and peak width exceeding certain thresholds, typically 20–30 dB above the noise floor and 2–3 range bins, respectively.

The third and most important step is to identify the nearest and farthest peaks for each pixel of the image, i.e., the ranges to the first and the last object that the beam is scattered from during its trajectory. For imaging people, the first object the beam usually encounters will be either an outer layer of clothing surface or an exposed portion of the body. The last object encountered, provided the clothing is sufficiently transmissive at 600 GHz, will be either the person’s body (through which terahertz radiation does not propagate) or an opaque concealed object. For the pixel highlighted in Fig. 5(a), the locations of the front and the back peaks are indicated by arrows, and these correspond to the subject’s shirt and skin. As already demonstrated in Fig. 3, separate layers as narrow as 1 cm can be detected with the high-resolution 600 GHz imaging radar. In this case, the shirt/skin gap is about 4 cm and is easily resolved. Similar spectra are obtained when the beam is aimed toward the gun, except in that case the back peak’s range corresponds to the gun surface instead of the skin surface.

The fourth and final step of the algorithm is to assemble the front and back peak ranges, collected over the entire image, into 3-D “front surface” and “back surface” reconstructions. This step includes a smoothing routine to remove the occasional spurious dip or spike in the surface reconstruction that usually comes from misidentifying the front and back peaks. For example, multiple reflections of the beam (i.e., multipath signals) can generate a nonphysical peak at a range more distant than the skin surface. These clutter signals are suppressed by reselecting the front and back peaks after an initial surface construction in a way that minimizes the range displacement between nearest neighbors, followed by a uniform smooth over the entire surface. This part of the algorithm is still being optimized with the hope that more intelligent peak-selection may result in even clearer images than those in Fig. 5.
The results of the image processing algorithm are presented in Fig. 5(b) and (c), which show the front and back surface reconstructions. We emphasize that in these images the color scale represents range only, and not reflected power, with some additional feature enhancement coming from the global scene lighting and shading built into the Matlab 3-D graphics software used to display the data. There is no indication of the concealed gun in Fig. 5(b). Instead, the front-surface imagery faithfully reproduces the subject’s shirt surface, arms, and head, including even some facial features. On the other hand, in the back-surface imagery of Fig. 5(c), the concealed weapon is plainly evident as a gun-shaped bulge on the subject’s chest. The bulge is detectable because the gun thickness of about 2.5 cm exceeds the range resolution of the radar. Also, the gun is opaque, so that its signal returns are assigned to be part of the back surface by the imaging algorithm. If the concealed object were instead transmissive at 600 GHz, then this imaging processing algorithm would likely fail to detect it. However, more complicated signal processing based on peak anomaly detection could potentially detect concealed objects even if they were highly penetrable.

Thus unlike in imaging systems that detect total power only, including all passive imagers, the high-resolution 600 GHz radar can separately distinguish between layers of clothing and the surface of the human body. Then, the clothing layers can be digitally “peeled away” to reveal concealed objects and weapons with high reliability. This is made possible because of a combination of the radar’s huge bandwidth of nearly 30 GHz to achieve high range resolution, its phase stability to permit coherent signal processing, and its 600 GHz carrier frequency that readily penetrates clothing.

IV. SCALING UP: RANGE AND SPEED

With a promising approach to detecting concealed weapons using high-resolution submillimeter-wave radar, scaling the imager’s range to more useful standoff distances of 25 m or greater becomes a challenger of engineering rather than phenomenology. The most difficult performance tradeoff for long distance imaging is between aperture size and cross-range resolution. Unlike range resolution, which is bandwidth-limited and independent of standoff range, cross-range resolution is diffraction-limited by the radar’s aperture size. The cross-range resolution scales linearly with aperture diameter, so a 25 m standoff image of comparable quality to the 4 m standoff images of Fig. 4 would require a $\frac{40 \times 25}{4} = 250$ cm diameter aperture. This large diameter is at the limit of practicability, so we estimate that standoff ranges up to 50 m with resolution two times worse than that of Fig. 5 is practical using the present approach.

Another standoff scaling requirement is that the radar’s SNR remain high. In conventional radars that use a wide, diverging beam to detect or track a small target, the SNR scales with range as $1/R^2$. This dependence is a consequence of diffraction, where the beam energy density scales as $1/R^2$ over both the transmit and receive paths [5]. However, in active real-aperture imagers such as the 600 GHz radar presented here, the outgoing beam does not diverge, but is focused to a small spot on target. The beam divergence occurs only in the receive path and so the SNR scales as $1/R^2$. This means that if a proportionally larger aperture diameter $D$ is used to maintain a fixed cross-range resolution, the resulting increase in antenna gain, which scales as $D^2$, will precisely compensate for the energy lost to beam divergence. Therefore a fixed target resolution guarantees that the system SNR will be independent of standoff range. A third category of system considerations for achieving longer standoff range is the speed of the backend electronics. The radar’s demodulated signal frequency increases in proportion to range, requiring faster analog-to-digital conversion (ADC) and signal processing. Finally, atmospheric attenuation must be considered. Depending on atmospheric conditions (primarily humidity), the round-trip signal attenuation for 50 m standoff is expected to lie anywhere between 1 dB and 50 dB at 600 GHz [1], but at the local attenuation minimum of 670 GHz, the worst case scenario is only a 20 dB loss.

We have not completed a systematic experimental investigation of these range-scaling effects for the 600 GHz imaging radar. However, preliminary measurements at 25 m standoff indicate that through-garment weapons detection will be viable at this long range, and that signal loss from atmospheric absorption may be surprisingly low. The 25 m standoff measurements were made using an off-axis reflector similar to that shown in Fig. 2, but with a diameter of 47 cm rather than 40 cm. Owing to the much larger range and only slightly larger aperture, the resulting image resolution is expected to be more than five times worse than the 4 m standoff images of Fig. 5. The 3 mm bead calibration at 25 m standoff confirmed that the two-way beam width is significantly degraded, with additional distortion (likely from reflector aberrations or optical misalignment) yielding a 3 dB beam size of about $2 \times 3.4$ cm.

Yet despite this inferior resolution at 25 m standoff, the results in Fig. 6 indicate that through-garment imaging is still effective even at this range. Fig. 6(a) shows a photograph of the scenario, where a mannequin’s torso has a 2.25 in steel pipe hung around its neck. A potential pitfall of using a plastic mannequin is that it is highly transmissive at 600 GHz, while humans are totally opaque. Thus the mannequin was covered with a damp cotton shirt (water is extremely absorbent at 600 GHz), which in turn was covered by plastic wrap to prevent the outer clothing from becoming moist. During the scan the pipe lay underneath a loose-fitting T-shirt, as shown in Fig. 6(b).

To compensate for the lowered SNR resulting from an increase in the standoff-to-aperture ratio, the radar chirp time was increased to 125 ms. The FMCW radar span was maintained at 28.8 GHz. The resulting 81 $\times$ 73 pixel images of the 3-D front- and back-surface reconstructions are shown in Fig. 6(c) and (d). Because the steel pipe is opaque at 600 GHz, the usual image processing algorithm makes the pipes visible as an unnatural protrusion in the torso in Fig. 6(d).

The clarity of the pipe, however, is not very good because of the distorted pipe shape and the difficulty of extracting the correct range-compressed peaks corresponding to the front and back surfaces when the large beam encounters a target with a complex topography. We expect that the 25 m image quality will improve rapidly with increasing aperture size, but these results prove that the 600 GHz imaging radar is still effective at exposing concealed objects even at 25 m standoff.
Fig. 6. (a) Target scenario is a plastic mannequin wrapped in damp fabric and plastic at 25 m standoff range. A 2.25 in steel pipe was hung around the neck. (b) During the scan, a T-shirt was placed over the mannequin and pipe. (c) and (d) Front- and back-surface reconstructions revealing the presence of the pipe. The color scale represents range only.

As a second test at 25 m standoff, we measured the effect of rain on the reflected signal intensity of the 600 GHz radar. During a rainstorm that occurred at the Jet Propulsion Laboratory on December 7, 2007, the radar beam was directed out of our building’s loading dock, and the reflection was measured from the same 3 mm gold bead target shown in Fig. 2(b). The beam traveled 2.5 m indoors before leaving the building, with a round-trip path through the rain of about 45 m. Data obtained through the rain during a particularly heavy downpour, when the JPL weather station reported 92% relative humidity at a temperature of 12.6 °C, are shown in Fig. 7. The figure also shows the radar signal from the same target (at 25 m range) obtained in a hallway entirely indoors. In both cases the gold bead signal is well above the noise floor, and the heavy rain caused an attenuation of only 7 dB, or about 150 dB/km. This is consistent with the 600 dB/km attenuation calculated for 90% relative humidity at 35 °C in [1], since the saturated absolute water content in air at that temperature is about four times higher than at the temperature during our measurement. The measurements of Fig. 6 suggest that images obtained at a standoff range of 25 m will not be severely degraded by atmospheric attenuation in most scenarios with our current SNR.

Just as increasing the standoff range of the radar will be necessary in a fielded system, so will shortening the image acquisition time. For a single-pixel instrument a speed improvement of 2–3 orders of magnitude is needed to achieve more than one frame per second. One attractive approach for this is to construct a linear array of sensors that rapidly scans in a single direction. This strategy has been successfully exploited in the passive millimeter wave imager developed by Sago Systems. Building a linear array of receivers or transceivers for the imaging radar would require a re-design of the front-end submillimeter components and the associated optics. However, in contrast to systems that generate terahertz radiation using laser downconversion or vacuum tubes, such an array is feasible because the all-solid-state Schottky diode devices we employ can be made extremely compact using integrated circuit and microfabrication techniques. Finally, the signal processing load of an imaging radar array also increases with pixel number and speed. For a linear array operating at a 1 kHz pulse repetition frequency, the IF signal frequencies would still only be a few MHz, and so the processing requirements of the range-compressed spectra are modest enough to be handled in real time by a dedicated processor.

V. CONCLUSION

We have shown that a 600 GHz FMCW radar system can be used to detect weapons or contraband under clothing at significant stand-off distances, and that simple active imaging using a CW source reflected off a target cannot easily distinguish between scene clutter and hidden objects due to variations in object backscatter. By employing an FMCW radar with high range resolution, a front- and rear-surface detection algorithm can reveal hidden objects with high resolution. This added capability would make a critical difference to a real-world deployable instrument.

Although some of the required RF hardware is not available off-the-shelf at this time, we know from our own development efforts that adequate room temperature solid-state sources and heterodyne sensors can be designed to meet the requirements of such a system. Extending the effective range for a given lateral resolution is a practical issue driven mainly by aperture scale. Fortunately, in the active radar imaging approach the backscattered signal strength does not degrade with distance if the lateral resolution is maintained, and range resolution is also independent of standoff range. Atmospheric attenuation can be a concern, but working in an atmospheric window (such as 670 GHz)

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mitigates this problem. As we have already shown, measurements even at 600 GHz under realistic conditions in a driving rainstorm produced only a 7 dB loss in SNR at 25 m. The biggest hurdle, we believe, is in bringing the imaging system up to video rate. However this should be possible by employing a linear transceiver array, and we are currently pursuing this avenue of research.

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REFERENCES


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